

Delegations Framework

1. Scope

- 1.1 This policy applies to the Medical Sexual Assault Clinicians Aotearoa (MEDSAC) Board members [the Directors] Committee members, Committee Managers and staff.

2. Purpose

- 2.1 The objective of the policy is to ensure that all delegations of Board responsibilities, authorities and powers to individual Directors, Board Committees and MEDSAC staff are properly authorised, documented and controlled.

3. Principles

- 3.1 The Board retains all powers and authorities required to carry out the business of MEDSAC effectively and efficiently except where specific authority is delegated to specific Board members, Board Committees, Committee Managers or MEDSAC staff.
- 3.2 The Directors are responsible for any delegations of their responsibilities with regard to the operation of MEDSAC. In addition to deciding what responsibilities are to be delegated, Directors must also ensure that there are adequate controls in place to ensure the effective operation of any delegated powers and authorities.
- 3.3 Individual Directors have no individual authority to participate in day-to-day management decisions of MEDSAC except as specifically authorised by the Governance Charter, specific Committee terms of reference, this policy or Board direction.

4. Definitions

- 4.1 Approved budget means the approved annual budget [operating and capital] approved by the Board and including any conditions, constraints, contingencies or adjustments made to anticipate or reflect changes in operating conditions or performance.
- 4.2 Department means an organisational unit or division managed as a separate budget area. In this respect MEDSAC Committees are regarded as departments but subcommittees, forums and projects fall within their relevant departmental delegations.
- 4.3 Budget category means a summary area of expenditure within the budget (e.g. people costs, consultancy fees, venue and equipment costs, travel and accommodation, insurances etc.)
- 4.4 Budget account means an individual unit of expenditure within the budget contained within a single general ledger account
- 4.5 Capital purchase means the purchase of a fixed asset.
- 4.6 **Committee Manager** means a MEDSAC Manager who reports to the Chair of the Committee and is responsible for facilitating the management of Committee business. Committee means a properly constituted Committee of the Board

4.7 **MEDSAC Committee** means a properly constituted Committee of the Board. For this policy such a Committee will also fall within the definition of a Department

POLICY

5. Authority

5.1 This policy defines the delegations of authority approved by the Board for application to all decisions and transactions relating to MEDSAC financial and people management and media communications.

5.2 This policy cannot be amended without the approval of the Board.

5.3 Any delegations to Board Committees must be approved by the Board and may be specified in the committee terms of reference.

6. Responsibility

6.1 All MEDSAC Directors, Committee members, Committee Managers and staff are responsible for ensuring that they operate within their agreed levels of delegated authority as specified in this policy.

6.2 For MEDSAC Committee Managers and staff the applicable level of delegated authority will be confirmed in writing as part of their employment arrangements, which can be amended with the approval of the Board to reflect changes in those arrangements and reviewed annually following the annual review of this policy.

6.3 The Manager Finance, in consultation with the Governance Committee of the Board, is responsible for developing effective internal control processes to ensure that all management decisions are compliant with Board delegations of authority.

6.4 Any temporary changes in staff delegated authorities to facilitate absences or leave arrangements must be evidenced in writing and approved by the Chair of the Board

7. Framework

7.1 The following chart specifies the hierarchical levels of authority used to define the applicable levels of delegated authority:

LEVEL	A	B	B1	C	D
Position	Board	Governance Committee	Committee Chair	Committee Manager	Staff

<i>Reports to</i>	Members & Stakeholders	Board	Board	Relevant Committee Chair	Manager
-------------------	------------------------	-------	-------	--------------------------	---------

7.2 The delegated authority scope for each level of authority is defined in Appendix A.

8. Application

8.1 Unless otherwise agreed with the Governance Committee, the MEDSAC Committee Chairs, Committee Managers and staff shall only exercise their delegated authorities within their approved:

- 8.1.1 Departmental and budget area of responsibility;
- 8.1.2 Strategic Agenda obligations and responsibilities;
- 8.1.3 Contractual arrangements or commitments, and
- 8.1.4 In accordance will all applicable MEDSAC policies and procedures.

8.2 Board approval is required in respect of any decisions and/or transactions that fall outside the defined authorities in Appendix A, including but not limited to, any increases in the overall expenditure budget or any unbudgeted capital expenditure.

8.3 The Governance Committee can approve the reallocation of budget funds between departments, budget categories or accounts and authorise unbudgeted non-capital expenditure within a budget category or account provided that:

- 8.3.1 The amount of the unbudgeted expenditure does not result in an increase in overall expenditure which exceeds the total approved budget;
- 8.3.2 Where the amount of the reallocation of budget funds between departments categories or accounts is substantial in terms of a significant redistribution of departmental budgets or a significant reallocation between budget categories, then the Board is required to endorse the reallocation

8.4 Where 'no limit' is specified as the level of delegation applicable, this means that the Director or staff member has full discretion subject to any applicable MEDSAC policies and procedures.

9. Approval Value

9.1 With reference to the financial delegation levels in Appendix A, the total value of any item of expenditure shall be calculated:

- 9.1.1 Exclusive of GST and expressed in New Zealand dollars, and
- 9.1.2 As the aggregated or combined value of the total transaction with any party provided that:

9.2 In respect of any material or service supply contract or facility or equipment lease commitment, the total value shall be the aggregate value of the expenditure over the term of the contract or lease.

- 9.3 In respect of a commitment to a single party to purchase materials or assets comprised of component parts, the total value shall be the aggregate value of all of the components to be purchased.

10. Personal Interest

- 10.1 No Director or staff member may exercise their delegated authority to approve a transaction in which they have a personal interest or potential conflict of interest.
- 10.2 In such circumstances, the interest must be declared and the transaction referred for approval:
- 10.2.1 To the Governance Committee in respect of transactions involving a Manager;
and
 - 10.2.2 To the Manager in respect of any other staff member

11. Reporting

- 11.1 Where the Governance Committee have exercised any delegations to approve financial commitments or expenditure in excess of the annual [operating or capital] budget approved by the Board, they must report that exercise to the Board in a timely manner.

Appendix A: Delegations of Authority

FINANCIAL

Delegation	A Board	B Governance	B1 Com Chair	C Manager	D Staff
Commit to any funding, sponsorship (cash or in kind) arrangements or revenue generating arrangement within approved policy and budget: For new arrangements		No limit (Two Directors)	No limit (Two Directors)	<\$20,000	Nil
	For existing and approved arrangements		No Limit	No Limit	Nil
Commit to any organisational or services funding arrangement with a Government agency	Board Resolution	<\$100,000 Resolution	Nil	Nil	Nil
Enter into and execute annual operating lease purchase or service contracts or commitments within approved budget responsibility. For new suppliers		No Limit (Two Directors)	<\$20,000	<\$5,000	Nil
	For contracted suppliers		No Limit	No Limit	<\$5,000
Enter into and execute multi-year operating lease or service contracts or commitments.	Board Resolution	Nil	Nil	Nil	Nil
Approve operating or overhead expenditure within approved budget responsibility area or category			No Limit	No Limit	<\$2,000
Approve purchases or operating or overhead costs with a cumulative effect in excess of a total approved budget category [but not exceeding annual budget]		Total value of <\$20,000 Resolution	Total value of <\$10,000	Total value of <\$5,000	Nil
Acquire or dispose of any fixed assets within approved capital expenditure budget		No Limit	<\$5,000	Nil	Nil
Write off any fixed asset		No Limit	Book value < \$1000	Nil	Nil
Extend supplier credit beyond approved enterprise terms of trade or credit policy by any amount, or period		No Limit (Two Directors)	Nil	Nil	Nil

Delegation	A Board	B Governance	B1 Com Chair	C Manager	D Staff
Issue credit notes		No Limit (Two Directors)	<\$2,000	<\$1,000	Nil
Write off debts	Board Resolution	<\$10,000 any account Resolution	< \$2000 any account	< \$1000 any account	Nil
Investment of surplus MEDSAC funds on short term bank deposit subject to any prevailing Board policy in respect of investment funds management		No Limit (Two Directors)	Nil	<\$20,000	Nil
Approve the issue of any credit cards including the authorisation any credit card limits	Board Resolution	Nil	Nil	Nil	Nil
Payment approvals:					
EFT and Cheque payments		One Director + Manager	Chair + Manager	No Limit with One Director	
Payroll Payments		Any two Directors			

PEOPLE MANAGEMENT

Delegation	A Board	B Governance	B1 Com Chair	C Manager	D Staff
Establish any new positions other than those approved within approved budget responsibility	Board Resolution	Nil	Nil	Nil	
Make appointments to established positions within approved budget responsibility		Chair		Any direct reports	
Employ casual, contract and project related labour within approved budgets				No limit	
Establish levels of remuneration within approved budget responsibility [except as approved in the annual budget or in an approved position establishment]		No Limit	Nil	Nil	
Terminate any permanent employment except by way of redundancy		No Limit	Nil	Nil	
Make any position or employee redundant	Board Resolution	Nil	Nil	Nil	

Delegation	A Board	B Governance	B1 Com Chair	C Manager	D Staff
Approve hours of work for any direct report		Manager	Manager	Direct reports	
Approve any annual or sick leave arrangements for direct reports		Manager	Manager	Direct reports	
Approve any long service or parental leave for any direct report	Board Resolution	Nil	Nil	Nil	
Approve the reimbursement of out of pocket expenditure for direct reports within MEDSAC policy		Manager	Manager	Direct reports	

MEDIA COMMUNICATION

Delegation	A Board	B Governance	B1 Com Chair	C Manager	D Staff
Approving and making media statements on non-controversial operational matters	Chair or nominee	Nil	Nil	Nil	Nil
Approving and making media statements on controversial or policy matters	Chair or nominee	Nil	Nil	Nil	Nil